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Crime prevention and criminal justice

Standard Minimum Rules for the Treatment of Prisoners

Note by the Secretariat

By its resolution 2014/16 of 16 July 2014, the Economic and Social Council recommended to the General Assembly the adoption of the following draft resolution:

Standard Minimum Rules for the Treatment of Prisoners

The General Assembly.

Guided by the principal purposes of the United Nations, as set out in the Preamble to the Charter of the United Nations and the Universal Declaration of Human Rights,¹ and inspired by the determination to reaffirm faith in fundamental human rights, in the dignity and worth of the human person, without distinction of any kind, and in the equal rights of men and women and of nations large and small, to establish conditions under which justice and respect for the obligations arising from treaties and other sources of international law can be maintained and to promote social progress and better standards of life in larger freedom,

Bearing in mind the long-standing concern of the United Nations for the humanization of criminal justice and the protection of human rights,

Aware that the Standard Minimum Rules for the Treatment of Prisoners² remain the universally acknowledged minimum standards for the detention of prisoners and that they have been of value and influence in the development of correctional laws, policies and practices since their adoption by the First United Nations Congress on the Prevention of Crime and the Treatment of Offenders, in 1955,

¹ General Assembly resolution 217 A (III).

² *Human Rights: A Compilation of International Instruments, Volume I (First Part), Universal Instruments* (United Nations publication, Sales No. E.02.XIV.4 (Vol. I, Part I)), sect. J, No. 34.



Mindful that, in the Salvador Declaration on Comprehensive Strategies for Global Challenges: Crime Prevention and Criminal Justice Systems and Their Development in a Changing World,³ Member States recognized that an effective, fair, accountable and humane criminal justice system was based on the commitment to uphold the protection of human rights in the administration of justice and the prevention and control of crime, and acknowledged the value and impact of the United Nations standards and norms in crime prevention and criminal justice in designing and implementing national crime prevention and criminal justice policies, laws, procedures and programmes,

Taking into account the progressive development of international standards pertaining to the treatment of prisoners since 1955, including in international instruments such as the International Covenant on Civil and Political Rights,⁴ the International Covenant on Economic, Social and Cultural Rights⁴ and the Convention against Torture and Other Cruel, Inhuman or Degrading Treatment or Punishment⁵ and the Optional Protocol thereto,⁶ and other relevant United Nations standards and norms in crime prevention and criminal justice related to the treatment of prisoners, namely, the procedures for the effective implementation of the Standard Minimum Rules for the Treatment of Prisoners,⁷ the Body of Principles for the Protection of All Persons under Any Form of Detention or Imprisonment,⁸ the Code of Conduct for Law Enforcement Officials,⁹ the Basic Principles for the Treatment of Prisoners,¹⁰ the Basic Principles on the Use of Force and Firearms by Law Enforcement Officials,¹¹ the United Nations Standard Minimum Rules for the Administration of Juvenile Justice (the Beijing Rules),¹² the United Nations Rules for the Protection of Juveniles Deprived of their Liberty,¹³ the United Nations Guidelines for the Prevention of Juvenile Delinquency (the Riyadh Guidelines),¹⁴ the United Nations Standard Minimum Rules for Non-custodial Measures (the Tokyo Rules),¹⁵ the United Nations Rules for the Treatment of Women Prisoners and Non-custodial Measures for Women Offenders (the Bangkok Rules)¹⁶ and the United Nations Principles and Guidelines on Access to Legal Aid in Criminal Justice Systems,¹⁷

³ General Assembly resolution 65/230, annex.

⁴ See General Assembly resolution 2200 A (XXI), annex.

⁵ United Nations, *Treaty Series*, vol. 1465, No. 24841.

⁶ *Ibid.*, vol. 2375, No. 24841.

⁷ Economic and Social Council resolution 1984/47, annex.

⁸ General Assembly resolution 43/173, annex.

⁹ General Assembly resolution 34/169, annex.

¹⁰ General Assembly resolution 45/111, annex.

¹¹ *Eighth United Nations Congress on the Prevention of Crime and the Treatment of Offenders, Havana, 27 August–7 September 1990: report prepared by the Secretariat* (United Nations publication, Sales No. E.91.IV.2), chap. I, sect. B.2, annex.

¹² General Assembly resolution 40/33, annex.

¹³ General Assembly resolution 45/113, annex.

¹⁴ General Assembly resolution 45/112, annex.

¹⁵ General Assembly resolution 45/110, annex.

¹⁶ General Assembly resolution 65/229, annex.

¹⁷ General Assembly resolution 67/187, annex, including principles on persons who are detained, arrested, suspected of, or charged with a criminal offence punishable by a term of imprisonment or the death penalty.

Mindful of its resolution 67/166 of 20 December 2012 on human rights in the administration of justice, in which it recognized the importance of the principle that persons deprived of their liberty shall retain their non-derogable human rights and all other human rights and fundamental freedoms, except for those lawful limitations that are demonstrably necessitated by the fact of incarceration, and took note of general comment No. 21 on the humane treatment of persons deprived of their liberty, adopted by the Human Rights Committee,¹⁸ as well as Human Rights Council resolution 24/12 of 26 September 2013,¹⁹ in which the Council noted the work of the open-ended intergovernmental Expert Group on the Standard Minimum Rules for the Treatment of Prisoners, reiterating that any changes should not lower any existing standards but should reflect recent advances in correctional science and best practices,

Recalling its resolution 65/230 of 21 December 2010, in which it requested the Commission on Crime Prevention and Criminal Justice to establish an open-ended intergovernmental expert group to exchange information on best practices, as well as national legislation and existing international law, and on the revision of existing United Nations standard minimum rules for the treatment of prisoners so that they reflect recent advances in correctional science and best practices, with a view to making recommendations to the Commission on possible next steps, and requested the Expert Group to report to the Commission on progress in its work,

Recalling also its resolutions 67/188 of 20 December 2012 and 68/190 of 18 December 2013, entitled "Standard Minimum Rules for the Treatment of Prisoners", as well as its resolution 68/156 of 18 December 2013, entitled "Torture and other cruel, inhuman or degrading treatment or punishment", in particular paragraph 38 thereof,

Recalling further that, in its resolution 67/184 of 20 December 2012 on follow-up to the Twelfth United Nations Congress on Crime Prevention and Criminal Justice and preparations for the Thirteenth United Nations Congress on Crime Prevention and Criminal Justice, it decided that one of the workshops to be held within the framework of the Thirteenth Congress would be devoted to the topic "Role of the United Nations standards and norms in crime prevention and criminal justice in support of effective, fair, humane and accountable criminal justice systems: experiences and lessons learned in meeting the unique needs of women and children, in particular the treatment and social reintegration of offenders",

1. *Notes with appreciation* the further progress made during the third meeting of the open-ended intergovernmental Expert Group on the Standard Minimum Rules for the Treatment of Prisoners, held in Vienna from 25 to 28 March 2014;²⁰

2. *Expresses its gratitude* to the Government of Brazil for its financial support for the third meeting of the Expert Group;

¹⁸ Official Records of the General Assembly, Forty-seventh Session, Supplement No. 40 (A/47/40), annex VI.B.

¹⁹ Ibid., Sixty-eighth Session, Supplement No. 53A (A/68/53/Add.1), chap. III.

²⁰ See E/CN.15/2014/19 and Corr.1.

3. *Acknowledges* the work done by the Expert Group at its previous meetings, held in Vienna from 31 January to 2 February 2012²¹ and in Buenos Aires from 11 to 13 December 2012;²²

4. *Also acknowledges* the work accomplished by the Secretariat in preparing the relevant documentation, in particular the working paper for the third meeting,²³ as well as the determined progress achieved at the meetings of the Expert Group in reviewing the Standard Minimum Rules for the Treatment of Prisoners;²

5. *Expresses appreciation* for the important submissions and suggestions of Member States pursuant to the request to exchange information on best practices and on the revision of the existing Standard Minimum Rules, as reflected in the working paper submitted to the Expert Group at its third meeting;

6. *Reiterates* that any changes to the Standard Minimum Rules should not lower any of the existing standards, but should reflect the recent advances in correctional science and good practices so as to promote safety, security and humane conditions for prisoners;

7. *Recognizes* the need for the Expert Group to continue to take into account the social, legal and cultural specificities, as well as human rights obligations, of Member States;

8. *Notes* that the revision process should maintain the existing scope of application of the Standard Minimum Rules;

9. *Acknowledges with appreciation* the important contributions received from the Special Rapporteur of the Human Rights Council on torture and other cruel, inhuman or degrading treatment or punishment,²⁴ the Office of the United Nations High Commissioner for Human Rights and the Committee on the Rights of Persons with Disabilities, as well as other submissions received for consideration from a number of intergovernmental and non-governmental organizations, and invites them in this regard to continue to be actively involved in the Expert Group process, in accordance with the rules of procedure of the functional commissions of the Economic and Social Council;

10. *Acknowledges* that the revision of the Standard Minimum Rules is a time-intensive exercise of crucial importance, emphasizes that efforts should be made to finalize the revision process, building on the recommendations made at the three meetings of the Expert Group and the submissions of Member States, for consideration at the Thirteenth United Nations Congress on Crime Prevention and Criminal Justice, to be held in Doha in 2015, and also emphasizes that the concern for a speedy process should not compromise the quality of the outcome;

11. *Decides* to extend the mandate of the Expert Group, authorizing it to continue its work, with the aim of reaching a consensus, and to present a report to the Thirteenth Congress, for the information of the workshop on the role of the United Nations standards and norms in crime prevention and criminal justice in support of effective, fair, humane and accountable criminal justice systems, and to

²¹ See E/CN.15/2012/18.

²² See E/CN.15/2013/23.

²³ UNODC/CCPCJ/EG.6/2014/CRP.1.

²⁴ A/68/295.

the Commission on Crime Prevention and Criminal Justice at its twenty-fourth session for consideration, and requests the Secretary-General to ensure that the required services and support are provided;

12. *Invites* the bureau of the third meeting of the Expert Group to continue to be involved in the revision of the rules by preparing, with the assistance of the Secretariat, a revised consolidated working paper, in all official languages of the United Nations, consisting of the draft revised rules, which should reflect the progress achieved so far, including the recommendations made by the Expert Group at its meetings held in Buenos Aires in 2012 and in Vienna in 2014, taking also into account proposals for revision put forward by Member States in relation to the areas and rules identified by the General Assembly in paragraph 6 of its resolution 67/188, for submission to and consideration by the Expert Group at its next meeting;

13. *Expresses its gratitude* to the Government of South Africa for its intention to host the next meeting of the Expert Group, and welcomes any support, in particular financial support, that other interested countries and organizations may wish to provide;

14. *Invites* Member States to actively participate in the next meeting of the Expert Group and to include in their delegations persons with a variety of expertise from relevant disciplines;

15. *Encourages* Member States to improve conditions in detention, consistent with the principles of the Standard Minimum Rules and all other relevant and applicable international standards and norms, to continue exchanging good practices, such as those regarding conflict resolution in detention facilities, including in the area of technical assistance, to identify challenges faced in implementing the Rules and share their experiences in dealing with those challenges, and to provide relevant information in that regard to their experts participating in the Expert Group;

16. *Also encourages* Member States to promote the implementation of the United Nations Rules for the Treatment of Women Prisoners and Non-custodial Measures for Women Offenders (the Bangkok Rules),¹⁶ as well as the United Nations Rules for the Protection of Juveniles Deprived of their Liberty;¹³

17. *Recommends* that Member States continue to endeavour to reduce prison overcrowding and, where appropriate, resort to non-custodial measures as alternatives to pretrial detention, to promote increased access to justice and legal defence mechanisms, to reinforce alternatives to imprisonment and to support rehabilitation and reintegration programmes, in accordance with the United Nations Standard Minimum Rules for Non-custodial Measures (the Tokyo Rules);¹⁵

18. *Reiterates its request* to the Secretary-General to continue to promote the use and application of the United Nations standards and norms in crime prevention and criminal justice by, inter alia, providing advisory services and technical assistance to Member States, on request, including assistance in crime prevention, criminal justice and law reform, and in the organization of training for law enforcement, crime prevention and criminal justice personnel and support in the administration and management of penal and penitentiary systems, thus contributing to the upgrading of their efficiency and capabilities;

19. *Reaffirms* the important role of the United Nations crime prevention and criminal justice programme network and intergovernmental and non-governmental organizations in consultative status with the Economic and Social Council in contributing to the dissemination, promotion and practical application of the Standard Minimum Rules for the Treatment of Prisoners, in accordance with the procedures for their effective implementation;⁷

20. *Invites* Member States and other donors to provide extrabudgetary resources for the purposes outlined in the present resolution, in accordance with the rules and procedures of the United Nations.

*45th plenary meeting
16 July 2014*